TCPM WG Internet Draft

Intended status: Informational

Obsoletes: 2140

Expires: October 2019

J. Touch Independent M. Welzl S. Islam

University of Oslo April 15, 2019

# TCP Control Block Interdependence draft-ietf-tcpm-2140bis-00.txt

Status of this Memo

This Internet-Draft is submitted in full conformance with the provisions of  $\underline{BCP}$  78 and  $\underline{BCP}$  79.

This document may contain material from IETF Documents or IETF Contributions published or made publicly available before November 10, 2008. The person(s) controlling the copyright in some of this material may not have granted the IETF Trust the right to allow modifications of such material outside the IETF Standards Process. Without obtaining an adequate license from the person(s) controlling the copyright in such materials, this document may not be modified outside the IETF Standards Process, and derivative works of it may not be created outside the IETF Standards Process, except to format it for publication as an RFC or to translate it into languages other than English.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF), its areas, and its working groups. Note that other groups may also distribute working documents as Internet-Drafts.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

The list of current Internet-Drafts can be accessed at http://www.ietf.org/ietf/lid-abstracts.txt

The list of Internet-Draft Shadow Directories can be accessed at http://www.ietf.org/shadow.html

This Internet-Draft will expire on October 15, 2019.

### Copyright Notice

Copyright (c) 2019 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to <u>BCP 78</u> and the IETF Trust's Legal Provisions Relating to IETF Documents (<a href="https://trustee.ietf.org/license-info">https://trustee.ietf.org/license-info</a>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the <a href="mailto:Trust Legal Provisions">Trust Legal Provisions</a> and are provided without warranty as described in the Simplified BSD License.

## Abstract

This memo provides guidance to TCP implementers that are intended to help improve convergence to steady-state operation without affecting interoperability. It updates and replaces RFC 2140's description of interdependent TCP control blocks and the ways that part of TCP state can be shared among similar concurrent or consecutive connections. TCP state includes a combination of parameters, such as connection state, current round-trip time estimates, congestion control information, and process information. Most of this state is maintained on a per-connection basis in the TCP Control Block (TCB), but implementations can (and do) share certain TCB information across connections to the same host. Such sharing is intended to improve overall transient transport performance, while maintaining backward-compatibility with existing implementations. The sharing described herein is limited to only the TCB initialization and so has no effect on the long-term behavior of TCP after a connection has been established.

#### Table of Contents

<u>1</u> .	Introduction	<u>3</u>
<u>2</u> .	Conventions used in this document	3
3.	Terminology	4
	The TCP Control Block (TCB)	
	TCB Interdependence	
<u>6</u> .	An Example of Temporal Sharing	<u>5</u>
<u>7</u> .	An Example of Ensemble Sharing	9
8.	Compatibility Issues1	1
	Implications	
_	. Implementation Observations1	
_		<del>-</del>

<u>11</u> . Updates to <u>RFC 2140</u> <u>15</u>
12. Security Considerations
13. IANA Considerations
<u>14</u> . References
<u>14.1</u> . Normative References <u>16</u>
<u>14.2</u> . Informative References <u>17</u>
<u>15</u> . Acknowledgments <u>19</u>
<u>16</u> . Change log
17. Appendix A: TCB sharing history21
18. Appendix B: Options22

#### 1. Introduction

TCP is a connection-oriented reliable transport protocol layered over IP [RFC793]. Each TCP connection maintains state, usually in a data structure called the TCP Control Block (TCB). The TCB contains information about the connection state, its associated local process, and feedback parameters about the connection's transmission properties. As originally specified and usually implemented, most TCB information is maintained on a per-connection basis. Some implementations can (and now do) share certain TCB information across connections to the same host [RFC2140]. Such sharing is intended to lead to better overall transient performance, especially for numerous short-lived and simultaneous connections, as often used in the World-Wide Web [Be94],[Br02]. This sharing of state is intended to help TCP connections converge to steady-state behavior more quickly without affecting TCP interoperability.

This document updates RFC 2140's discussion of TCB state sharing and provides a complete replacement for that document. This state sharing affects only TCB initialization [RFC2140] and thus has no effect on the long-term behavior of TCP after a connection has been established nor on interoperability. Path information shared across SYN destination port numbers assumes that TCP segments having the same host-pair experience the same path properties, irrespective of TCP port numbers. The observations about TCB sharing in this document apply similarly to any protocol with congestion state, including SCTP [RFC4960] and DCCP [RFC4340], as well as for individual subflows in Multipath TCP [RFC6824].

### 2. Conventions used in this document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in <a href="https://example.com/BCP\_14">BCP\_14 [RFC2119]</a> [RFC8174] when, and only when, they appear in all capitals, as shown here.

However, this document is intended to describe behavior that is already permitted by TCP implementers. As a result, it provides informative guidance but does not use such normative language, except when quoting other documents.

# 3. Terminology

Host - a source or sink of TCP segments associated with a single IP address

Host-pair - a pair of hosts and their corresponding IP addresses

Path - an Internet path between the IP addresses of two hosts

### 4. The TCP Control Block (TCB)

A TCB describes the data associated with each connection, i.e., with each association of a pair of applications across the network. The TCB contains at least the following information [RFC793]:

```
Local process state
    pointers to send and receive buffers
    pointers to retransmission queue and current segment
    pointers to Internet Protocol (IP) PCB
Per-connection shared state
    macro-state
        connection state
        timers
        flags
        local and remote host numbers and ports
        TCP option state
    micro-state
        send and receive window state (size*, current number)
        round-trip time and variance
        cong. window size (snd cwnd)*
        cong. window size threshold (ssthresh)*
        max window size seen*
        sendMSS#
        MMS S#
        MMS R#
        PMTU#
        round-trip time and variance#
```

The per-connection information is shown as split into macro-state and micro-state, terminology borrowed from [Co91]. Macro-state describes the protocol for establishing the initial shared state about the connection; we include the endpoint numbers and components

(timers, flags) required upon commencement that are later used to help maintain that state. Micro-state describes the protocol after a connection has been established, to maintain the reliability and congestion control of the data transferred in the connection.

We further distinguish two other classes of shared micro-state that are associated more with host-pairs than with application pairs. One class is clearly host-pair dependent (#, e.g., MSS, MMS, PMTU, RTT), and the other is host-pair dependent in its aggregate (\*, e.g., congestion window information, current window sizes, etc.).

#### 5. TCB Interdependence

There are two cases of TCB interdependence. Temporal sharing occurs when the TCB of an earlier (now CLOSED) connection to a host is used to initialize some parameters of a new connection to that same host, i.e., in sequence. Ensemble sharing occurs when a currently active connection to a host is used to initialize another (concurrent) connection to that host.

# 6. An Example of Temporal Sharing

The TCB data cache is accessed in two ways: it is read to initialize new TCBs and written when more current per-host state is available. New TCBs can be initialized using context from past connections as follows:

#### TEMPORAL SHARING - TCB Initialization

Cad	ched TCB	New TCB
olo	d_MMS_S	old_MMS_S or not cached
olo	d_MMS_R	old_MMS_R or not cached
olo	d_sendMSS	old_sendMSS
olo	d_PMTU	old_PMTU
olo	d_RTT	old_RTT
olo	d_RTTvar	old_RTTvar
olo	d_option	(option specific)
olo	d_ssthresh	old_ssthresh
olo	d_snd_cwnd	old_snd_cwnd

Sections  $\underline{8}$  and  $\underline{9}$  discuss compatibility issues and implications of sharing the specific information listed above. Section  $\underline{10}$  gives an overview of known implementations.

Most cached TCB values are updated when a connection closes. The exceptions are MMS\_R and MMS\_S, which are reported by IP [RFC1122], PMTU which is updated after Path MTU Discovery [RFC1191][RFC4821][RFC8201], and sendMSS, which is updated if the MSS option is received in the TCP SYN header.

Sharing sendMSS information affects only data in the SYN of the next connection, because sendMSS information is typically included in most TCP SYN segments. Caching PMTU can accelerate the efficiency of PMTUD, but can also result in black-holing until corrected if in error. Caching MMS\_R and MMS\_S may be of little direct value as they are reported by the local IP stack anyway.

The way in which other TCP option state can be shared depends on the details of that option. E.g., TFO state includes the TCP Fast Open Cookie [RFC7413] or, in case TFO fails, a negative TCP Fast Open response. RFC 7413 states, "The client MUST cache negative responses from the server in order to avoid potential connection failures. Negative responses include the server not acknowledging the data in the SYN, ICMP error messages, and (most importantly) no response

(SYN-ACK) from the server at all, i.e., connection timeout." [RFC 7413]. TFOinfo is cached when a connection is established.

Other TCP option state might not be as readily cached. E.g., TCP-AO [RFC5925] success or failure between a host pair for a single SYN destination port might be usefully cached. TCP-AO success or failure to other SYN destination ports on that host pair is never useful to cache because TCP-AO security parameters can vary per service.

The table below gives an overview of option-specific information that can be shared.

TEMPORAL SHARING - Option info

Cached	New	
old_TFO_Cookie	old_TFO_Cookie	
old TFO Failure	old TFO Failure	

#### TEMPORAL SHARING - Cache Updates

Cached TCB	Current TCB	when?	New Cached TCB
old_MMS_S	curr_ MMS_S	OPEN	curr MMS_S
old_MMS_R	curr_ MMS_R	OPEN	curr_MMS_R
old_sendMSS	curr_sendMSS	MSSopt	curr_sendMSS
old_PMTU	curr_PMTU	PMTUD	curr_PMTU
old_RTT	curr_RTT	CLOSE	merge(curr,old)
old_RTTvar	curr_RTTvar	CLOSE	merge(curr,old)
old_option	curr option	ESTAB	(depends on option)
old_ssthresh	curr_ssthresh	CLOSE	merge(curr,old)
old_snd_cwnd	curr_snd_cwnd	CLOSE	merge(curr,old)

Caching PMTU and sendMSS is trivial; reported values are cached, and the most recent values are used. The cache is updated when the MSS option is received in a SYN or after PMTUD (i.e., when an ICMPv4 Fraqmentation Needed [RFC1191] or ICMPv6 Packet Too Big message is received [RFC8201] or the equivalent is inferred, e.g. as from PLPMTUD [RFC4821]), respectively, so the cache always has the most recent values from any connection. For sendMSS, the cache is consulted only at connection establishment and not otherwise updated, which means that MSS options do not affect current connections. The default sendMSS is never saved; only reported MSS values update the cache, so an explicit override is required to reduce the sendMSS. There is no particular benefit to caching MMS\_S and MMS R as these are reported by the local IP stack.

TCP options are copied or merged depending on the details of each option, where "merge" is some function that combines the values of "curr" and "old". E.g., TFO state is updated when a connection is established and read before establishing a new connection.

RTT values are updated by formulae that merge the old and new values. Dynamic RTT estimation requires a sequence of RTT measurements. As a result, the cached RTT (and its variance) is an average of its previous value with the contents of the currently active TCB for that host, when a TCB is closed. RTT values are updated only when a connection is closed. The method for merging old

and current values needs to attempt to reduce the transient for new connections.

The updates for RTT, RTTvar and ssthresh rely on existing information, i.e., old values. Should no such values exist, the current values are cached instead.

TEMPORAL SHARING - Option info Updates

Cached	Current	when?	New Cached
old_TFO_Cookie	old_TFO_Cookie	ESTAB	old_TFO_Cookie
old TFO Failure	old TFO Failure	ESTAB	old TFO Failure

# 7. An Example of Ensemble Sharing

Sharing cached TCB data across concurrent connections requires attention to the aggregate nature of some of the shared state. For example, although MSS and RTT values can be shared by copying, it may not be appropriate to simply copy congestion window or ssthresh information; instead, the new values can be a function (f) of the cumulative values and the number of connections (N).

ENSEMBLE SHARING - TCB Initialization

Cached TCB	New TCB
old_MMS_S	old_MMS_S
old_MMS_R	old_MMS_R
old_sendMSS	old_sendMSS
old_PMTU	old_PMTU
old_RTT	old_RTT
old_RTTvar	old_RTTvar
old ssthresh sum	f(old ssthresh sum, N)
old snd_cwnd sum	f(old snd cwnd sum, N)
old_option	(option-specific)

Sections  $\underline{8}$  and  $\underline{9}$  discuss compatibility issues and implications of sharing the specific information listed above.

The table below gives an overview of option-specific information that can be shared.

# ENSEMBLE SHARING Option info

Cached	New	
old_TFO_Cookie	old_TFO_Cookie	
old TFO Failure	old TFO Failure	

# ENSEMBLE SHARING - Cache Updates

Cached TCB	Current TCB	when?	New Cached TCB
old_MMS_S	curr_MMS_S	OPEN	curr_MMS_S
old_MMS_R	curr_MMS_R	OPEN	curr_MMS_R
old_sendMSS	curr_sendMSS	MSSopt	curr_sendMSS
old_PMTU	curr_PMTU	PMTUD /PLPMTUD	curr_PMTU
old_RTT	curr_RTT	update	rtt_update(old,curr)
old_RTTvar	curr_RTTvar	update	rtt_update(old,curr)
old ssthresh	curr ssthresh	update	adjust sum as appopriate
old snd_cwnd	curr snd_cwnd	update	adjust sum as appopriate
old_option	curr option	(depends)	(option specific)

For ensemble sharing, TCB information should be cached as early as possible, sometimes before a connection is closed. Otherwise, opening multiple concurrent connections may not result in TCB data sharing if no connection closes before others open. The amount of work involved in updating the aggregate average should be minimized, but the resulting value should be equivalent to having all values measured within a single connection. The function "rtt update" in

the ensemble sharing table indicates this operation, which occurs whenever the RTT would have been updated in the individual TCP connection. As a result, the cache contains the shared RTT variables, which no longer need to reside in the TCB.

Congestion window size and ssthresh aggregation are more complicated in the concurrent case. When there is an ensemble of connections, we need to decide how that ensemble would have shared these variables, in order to derive initial values for new TCBs.

# ENSEMBLE SHARING - Option info Updates

Cached	Current	when?	New Cached
old_TFO_Cookie	old_TFO_Cookie	ESTAB	old_TFO_Cookie
old_TFO_Failure	old_TFO_Failure	ESTAB	old_TFO_Failure

Any assumption of this sharing can be incorrect because identical endpoint address pairs may not share network paths. In current implementations, new congestion windows are set at an initial value of 4-10 segments [RFC3390][RFC6928], so that the sum of the current windows is increased for any new connection. This can have detrimental consequences where several connections share a highly congested link.

There are several ways to initialize the congestion window in a new TCB among an ensemble of current connections to a host. Current TCP implementations initialize it to four segments as standard [rfc3390] and 10 segments experimentally [RFC6928]. These approaches assume that new connections should behave as conservatively as possible. The algorithm described in [Bal2] adjusts the initial cwnd depending on the cwnd values of ongoing connections. There have also been suggestions to use the kind of sharing mechanisms described in this document over long timescales to adapt TCP's initial window automatically [To13].

#### Compatibility Issues

For the congestion and current window information, the initial values computed by TCB interdependence may not be consistent with the long-term aggregate behavior of a set of concurrent connections between the same endpoints. Under conventional TCP congestion control, if a single existing connection has converged to a congestion window of 40 segments, two newly joining concurrent connections assume initial windows of 10 segments [RFC6928], and the

current connection's window doesn't decrease to accommodate this additional load and connections can mutually interfere. One example of this is seen on low-bandwidth, high-delay links, where concurrent connections supporting Web traffic can collide because their initial windows were too large, even when set at one segment.

The authors of [Hu12] recommend caching ssthresh for temporal sharing only when flows are long. Some studies suggest that sharing ssthresh between short flows can deteriorate the performance of individual connections [Hu12, Du16], although this may benefit aggregate network performance.

Due to mechanisms like ECMP and LAG [RFC7424], TCP connections sharing the same host-pair may not always share the same path. This does not matter for host-specific information such as RWIN and TCP option state, such as TFOinfo. When TCB information is shared across different SYN destination ports, path-related information can be incorrect; however, the impact of this error is potentially diminished if (as discussed here) TCB sharing affects only the transient event of a connection start or if TCB information is shared only within connections to the same SYN destination port. In case of Temporal Sharing, TCB information could also become invalid over time. Because this is similar to the case when a connection becomes idle, mechanisms that address idle TCP connections (e.g., [RFC7661]) could also be applied to TCB cache management, especially when TCP Fast Open is used [RFC7413].

There may be additional considerations to the way in which TCB interdependence rebalances congestion feedback among the current connections, e.g., it may be appropriate to consider the impact of a connection being in Fast Recovery [RFC5861] or some other similar unusual feedback state, e.g., as inhibiting or affecting the calculations described herein.

TCP is sometimes used in situations where packets of the same hostpair do not always take the same path. Multipath routing that relies on examining transport headers, such as ECMP and LAG, may not result in repeatable path selection when TCP segments are encapsulated, encrypted, or altered - for example, in some Virtual Private Network (VPN) tunnels that rely on proprietary encapsulation. Similarly, such approaches cannot operate deterministically when the TCP header is encrypted, e.g., when using IPsec ESP. TCB interdependence among the entire set sharing the same endpoint IP addresses should work without problems under these circumstances. Moreover, measures to increase the probability that connections use the same path could be applied: e.g., the connections could be given the same IPv6 flow label. TCB interdependence can also be extended to sets of host IP

address pairs that share the same network path conditions, such as when a group of addresses is on the same LAN (see  $\frac{\text{Section 9}}{2}$ ).

It can be wrong to share TCB information between TCP connections on the same host as identified by the IP address if an IP address is assigned to a new host (e.g., IP address spinning, as is used by ISPs to inhibit running servers). It can be wrong if Network Address (and Port) Translation (NA(P)T) [RFC2663] or any other IP sharing mechanism is used. Such mechanisms are less likely to be used with IPv6. Other methods to identify a host could also be considered to make correct TCB sharing more likely. Moreover, some TCB information is about dominant path properties rather than the specific host. IP addresses may differ, yet the relevant part of the path may be the same.

### 9. Implications

There are several implications to incorporating TCB interdependence in TCP implementations. First, it may reduce the need for application-layer multiplexing for performance enhancement [RFC7231]. Protocols like HTTP/2 [RFC7540] avoid connection reestablishment costs by serializing or multiplexing a set of perhost connections across a single TCP connection. This avoids TCP's per-connection OPEN handshake and also avoids recomputing the MSS, RTT, and congestion window values. By avoiding the so-called, "slow-start restart," performance can be optimized [Hu01]. TCB interdependence can provide the "slow-start restart avoidance" of multiplexing, without requiring a multiplexing mechanism at the application layer.

TCB interdependence pushes some of the TCP implementation from the traditional transport layer (in the ISO model), to the network layer. This acknowledges that some state is in fact per-host-pair or can be per-path as indicated solely by that host-pair. Transport protocols typically manage per-application-pair associations (per stream), and network protocols manage per-host-pair and path associations (routing). Round-trip time, MSS, and congestion information could be more appropriately handled in a network-layer fashion, aggregated among concurrent connections, and shared across connection instances [RFC3124].

An earlier version of RTT sharing suggested implementing RTT state at the IP layer, rather than at the TCP layer. Our observations describe sharing state among TCP connections, which avoids some of the difficulties in an IP-layer solution. One such problem of an IP layer solution is determining the correspondence between packet exchanges using IP header information alone, where such

correspondence is needed to compute RTT. Because TCB sharing computes RTTs inside the TCP layer using TCP header information, it can be implemented more directly and simply than at the IP layer. This is a case where information should be computed at the transport layer, but could be shared at the network layer.

Per-host-pair associations are not the limit of these techniques. It is possible that TCBs could be similarly shared between hosts on a subnet or within a cluster, because the predominant path can be subnet-subnet, rather than host-host. Additionally, TCB interdependence can be applied to any protocol with congestion state, including SCTP [RFC4960] and DCCP [RFC4340], as well as for individual subflows in Multipath TCP [RFC6824].

There may be other information that can be shared between concurrent connections. For example, knowing that another connection has just tried to expand its window size and failed, a connection may not attempt to do the same for some period. The idea is that existing TCP implementations infer the behavior of all competing connections, including those within the same host or subnet. One possible optimization is to make that implicit feedback explicit, via extended information associated with the endpoint IP address and its TCP implementation, rather than per-connection state in the TCB.

Like the initial version of this document [RFC2140], this update's approach to TCB interdependence focuses on sharing a set of TCBs by updating the TCB state to reduce the impact of transients when connections begin or end. Other mechanisms have since been proposed to continuously share information between all ongoing communication (including connectionless protocols), updating the congestion state during any congestion-related event (e.g., timeout, loss confirmation, etc.) [RFC3124]. By dealing exclusively with transients, TCB interdependence is more likely to exhibit the same behavior as unmodified, independent TCP connections.

#### 10. Implementation Observations

The observation that some TCB state is host-pair specific rather than application-pair dependent is not new and is a common engineering decision in layered protocol implementations. Although now deprecated, T/TCP [RFC1644] was the first to propose using caches in order to maintain TCB states (see Appendix A for more information).

The table below describes the current implementation status for some TCB information in Linux kernel version 4.6, FreeBSD 10 and Windows (as of October 2016). In the table, "shared" only refers to temporal sharing.

TCB data	Status
old MMS_S	Not shared
old MMS_R	Not shared
old_sendMSS	Cached and shared in Linux (MSS)
old PMTU	Cached and shared in FreeBSD and Windows (PMTU)
old_RTT	Cached and shared in FreeBSD and Linux
old_RTTvar	Cached and shared in FreeBSD
old TFOinfo	Cached and shared in Linux and Windows
old_snd_cwnd	Not shared
old_ssthresh	Cached and shared in FreeBSD and Linux: FreeBSD: arithmetic mean of ssthresh and previous value if a previous value exists; Linux: depending on state, max(cwnd/2, ssthresh) in most cases

## 11. Updates to RFC 2140

This document updates the description of TCB sharing in <a href="RFC 2140">RFC 2140</a> and its associated impact on existing and new connection state, providing a complete replacement for that document [RFC2140]. It clarifies the previous description and terminology and extends the mechanism to its impact on new protocols and mechanisms, including multipath TCP, fast open, PLPMTUD, NAT, and the TCP Authentication Option.

The detailed impact on TCB state addresses TCB parameters in greater detail, addressing RSS in both the send and receive direction, MSS and send-MSS separately, adds path MTU and ssthresh, and addresses the impact on TCP option state.

New sections have been added to address compatibility issues and implementation observations. The relation of this work to T/TCP has

been moved to an appendix discussion on history, partly to reflect the deprecation of that protocol.

Finally, this document updates and significantly expands the referenced literature.

### 12. Security Considerations

These presented implementation methods do not have additional ramifications for explicit attacks. They may be susceptible to denial-of-service attacks if not otherwise secured. For example, an application can open a connection and set its window size to zero, denying service to any other subsequent connection between those hosts.

TCB sharing may be susceptible to denial-of-service attacks, wherever the TCB is shared, between connections in a single host, or between hosts if TCB sharing is implemented within a subnet (see Implications section). Some shared TCB parameters are used only to create new TCBs, others are shared among the TCBs of ongoing connections. New connections can join the ongoing set, e.g., to optimize send window size among a set of connections to the same host.

Attacks on parameters used only for initialization affect only the transient performance of a TCP connection. For short connections, the performance ramification can approach that of a denial-of-service attack. E.g., if an application changes its TCB to have a false and small window size, subsequent connections would experience performance degradation until their window grew appropriately.

# 13. IANA Considerations

There are no IANA implications or requests in this document.

This section should be removed upon final publication as an RFC.

#### 14. References

#### 14.1. Normative References

This document has no normative references.

### 14.2. Informative References

- [Br02] Brownlee, N. and K. Claffy, "Understanding Internet Traffic Streams: Dragonflies and Tortoises", IEEE Communications Magazine p110-117, 2002.
- [Be94] Berners-Lee, T., et al., "The World-Wide Web," Communications of the ACM, V37, Aug. 1994, pp. 76-82.
- [Br94] Braden, B., "T/TCP -- Transaction TCP: Source Changes for Sun OS 4.1.3,", Release 1.0, USC/ISI, September 14, 1994.
- [Co91] Comer, D., Stevens, D., Internetworking with TCP/IP, V2, Prentice-Hall, NJ, 1991.
- [FreeBSD] FreeBSD source code, Release 2.10, <a href="http://www.freebsd.org/">http://www.freebsd.org/</a>
- [Du16] Dukkipati, N., Yuchung C., and Amin V., "Research Impacting the Practice of Congestion Control." ACM SIGCOMM CCR (editorial), on-line post, July 2016.
- [Hu01] Hugues, A., Touch, J., Heidemann, J., "Issues in Slow-Start Restart After Idle", <u>draft-hughes-restart-00</u> (expired), Dec. 2001.
- [Hu12] Hurtig, P., Brunstrom, A., "Enhanced metric caching for short TCP flows," 2012 IEEE International Conference on Communications (ICC), Ottawa, ON, 2012, pp. 1209-1213.
- [Ba12] Barik, R., Welzl, M., Ferlin, S., Alay, O., "LISA: A Linked Slow-Start Algorithm for MPTCP", IEEE ICC, Kuala Lumpur, Malaysia, May 23-27 2016.
- [RFC793] Postel, Jon, "Transmission Control Protocol," Network Working Group RFC-793/STD-7, ISI, Sept. 1981.
- [RFC1122] Braden, R. (ed), "Requirements for Internet Hosts --Communication Layers", RFC-1122, Oct. 1989.
- [RFC1191] Mogul, J., Deering, S., "Path MTU Discovery," <u>RFC 1191</u>, Nov. 1990.
- [RFC1644] Braden, R., "T/TCP -- TCP Extensions for Transactions Functional Specification," <u>RFC-1644</u>, July 1994.
- [RFC1379] Braden, R., "Transaction TCP -- Concepts," <u>RFC-1379</u>, September 1992.

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", <u>BCP 14</u>, <u>RFC 2119</u>, March 1997.
- [RFC2140] Touch, J., "TCP Control Block Interdependence", <u>RFC 2140</u>, April 1997.
- [RFC2663] Srisuresh, P., Holdrege, M., "IP Network Address Translator (NAT) Terminology and Considerations", <u>RFC-2663</u>, August 1999.
- [RFC3390] Allman, M., Floyd, S., Partridge, C., "Increasing TCP's Initial Window," <u>RFC 3390</u>, Oct. 2002.
- [RFC7231] Fielding, R., J. Reshke, Eds., "HTTP/1.1 Semantics and Content," RFC-7231, June 2014.
- [RFC3124] Balakrishnan, H., Seshan, S., "The Congestion Manager," RFC 3124, June 2001.
- [RFC4340] Kohler, E., Handley, M., Floyd, S., "Datagram Congestion Control Protocol (DCCP)," RFC 4340, Mar. 2006.
- [RFC4821] Mathis, M., Heffner, J., "Packetization Layer Path MTU Discovery," <u>RFC 4821</u>, Mar. 2007.
- [RFC4960] Stewart, R., (Ed.), "Stream Control Transmission Protocol," RFC4960, Sept. 2007.
- [RFC5861] Allman, M., Paxson, V., Blanton, E., "TCP Congestion Control," RFC 5861, Sept. 2009.
- [RFC5925] Touch, J., Mankin, A., Bonica, R., "The TCP Authentication Option," RFC 5925, June 2010.
- [RFC6824] Ford, A., Raiciu, C., Handley, M., Bonaventure, O., "TCP Extensions for Multipath Operation with Multiple Addresses," RFC 6824, Jan. 2013.
- [RFC6928] Chu, J., Dukkipati, N., Cheng, Y., Mathis, M., "Increasing TCP's Initial Window," RFC 6928, Apr. 2013.
- [RFC7413] Cheng, Y., Chu, J., Radhakrishnan, S., Jain, A., "TCP Fast Open", RFC 7413, Dec. 2014.

- [RFC7540] Belshe, M., Peon, R., Thomson, M., "Hypertext Transfer Protocol Version 2 (HTTP/2)", RFC 7540, May 2015.
- [RFC7661] Fairhurst, G., Sathiaseelan, A., Secchi, R., "Updating TCP to Support Rate-Limited Traffic", RFC 7661, Oct. 2015.
- [RFC8174] Leiba., B., "Ambiguity of Uppercase vs Lowercase in RFC 2119 Key Words", RFC 8174, May 2017.
- [To13] Touch, J., "Automating the Initial Window in TCP," <u>draft-touch-tcpm-automatic-iw-03</u> (expired), Jan. 2013.

#### 15. Acknowledgments

The authors would like to thank for Praveen Balasubramanian for information regarding TCB sharing in Windows, and Yuchung Cheng, Lars Eggert, Ilpo Jarvinen and Michael Scharf for comments on earlier versions of the draft. Earlier revisions of this work received funding from a collaborative research project between the University of Oslo and Huawei Technologies Co., Ltd. and were partly supported by USC/ISI's Postel Center.

This document was prepared using 2-Word-v2.0.template.dot.

# 16. Change log

This section should be removed upon final publication as an RFC.

ietf-00:

- Re-issued as <u>draft-ietf-tcpm-2140bis</u> due to WG adoption.
- Cleaned orphan references to T/TCP, removed incomplete refs
- Moved references to informative section and updated Sec 2
- Updated to clarify no impact to interoperability
- Updated appendix B to avoid 2119 language

06:

- Changed to update 2140, cite it normatively, and summarize the updates in a separate section

### 05:

- Fixed some TBDs.

04:

- Removed BCP-style recommendations and fixed some TBDs.

03:

- Updated Touch's affiliation and address information

02:

- Stated that our OS implementation overview table only covers temporal sharing.
- Correctly reflected sharing of old RTT in Linux in the implementation overview table.
- Marked entries that are considered safe to share with an asterisk (suggestion was to split the table)
- Discussed correct host identification: NATs may make IP addresses the wrong input, could e.g. use HTTP cookie.
- Included MMS S and MMS R from <a href="RFC1122">RFC1122</a>; fixed the use of MSS and MTU
- Added information about option sharing, listed options in the appendix

# Authors' Addresses

Joe Touch Manhattan Beach, CA 90266 USA

Phone: +1 (310) 560-0334 Email: touch@strayalpha.com Michael Welzl University of Oslo PO Box 1080 Blindern Oslo N-0316 Norway

Phone: +47 22 85 24 20 Email: michawe@ifi.uio.no

Safigul Islam University of Oslo PO Box 1080 Blindern Oslo N-0316 Norway

Phone: +47 22 84 08 37 Email: safiguli@ifi.uio.no

## 17. Appendix A: TCB sharing history

T/TCP proposed using caches to maintain TCB information across instances (temporal sharing), e.g., smoothed RTT, RTT variance, congestion avoidance threshold, and MSS [RFC1644]. These values were in addition to connection counts used by T/TCP to accelerate data delivery prior to the full three-way handshake during an OPEN. The goal was to aggregate TCB components where they reflect one association - that of the host-pair, rather than artificially separating those components by connection.

At least one T/TCP implementation saved the MSS and aggregated the RTT parameters across multiple connections, but omitted caching the congestion window information [Br94], as originally specified in [RFC1379]. Some T/TCP implementations immediately updated MSS when the TCP MSS header option was received [Br94], although this was not addressed specifically in the concepts or functional specification [RFC1379][RFC1644]. In later T/TCP implementations, RTT values were updated only after a CLOSE, which does not benefit concurrent sessions.

Temporal sharing of cached TCB data was originally implemented in the SunOS 4.1.3 T/TCP extensions [Br94] and the FreeBSD port of same [FreeBSD]. As mentioned before, only the MSS and RTT parameters were cached, as originally specified in [RFC1379]. Later discussion of T/TCP suggested including congestion control parameters in this

cache; for example, [RFC1644] (Section 3.1) hints at initializing the congestion window to the old window size.

# 18. Appendix B: Options

In addition to the options that can be cached and shared, this memo also lists known options for which state is unsafe to be kept. This list is meant to avoid work duplication and should be removed upon publication.

```
Obsolete (unsafe to keep state):
   ECH0
   ECHO REPLY
   PO Conn permitted
   PO service profile
   CC
   CC.NEW
   CC.ECHO
   Alt CS req
   Alt CS data
No state to keep:
   E<sub>0</sub>L
   N<sub>0</sub>P
   WS
   SACK
   TS
   MD5
   TCP-A0
```

```
EXP1
  EXP2
Unsafe to keep state:
  Skeeter (DH exchange - might be obsolete, though)
  Bubba (DH exchange - might really be obsolete, though)
  Trailer CS
  SCPS capabilities
  S-NACK
  Records boundaries
  Corruption experienced
  SNAP
  TCP Compression
  Quickstart response
  UT0
  MPTCP (can we cache when this fails?)
  TFO success
Safe but optional to keep state:
  MSS
  TFO failure (so we don't try again, since it's optional)
Safe and necessary to keep state:
  TFP cookie (if TFO succeeded in the past)
```