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Fenner [Page 1]

#### Abstract

This memo defines a portion of the Management Information Base (MIB) for use with network management protocols in the Internet community. In particular, it describes managed objects used for implementations of the Transmission Control Protocol (TCP) [5] in an IP version independent manner.

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#### 1. The SNMP Management Framework

The SNMP Management Framework presently consists of five major components:

- o An overall architecture, described in <a href="RFC 2571"><u>RFC 2571</u></a> [7].
- o Mechanisms for describing and naming objects and events for the purpose of management. The first version of this Structure of Management Information (SMI) is called SMIv1 and described in STD 16, RFC 1155 [8], STD 16, RFC 1212 [9] and RFC 1215 [10]. The second version, called SMIv2, is described in STD 58, RFC 2578 [11], STD 58, RFC 2579 [12] and STD 58, RFC 2580 [13].
- o Message protocols for transferring management information. The first version of the SNMP message protocol is called SNMPv1 and described in STD 15, RFC 1157 [14]. A second version of the SNMP message protocol, which is not an Internet standards track protocol, is called SNMPv2c and described in RFC 1901 [15] and RFC 1906 [16]. The third version of the message protocol is called SNMPv3 and described in RFC 1906 [16], RFC 2572 [17] and RFC 2574 [18].
- o Protocol operations for accessing management information. The first set of protocol operations and associated PDU formats is described in STD 15, RFC 1157 [14]. A second set of protocol operations and associated PDU formats is described in RFC 1905 [19].
- o A set of fundamental applications described in <a href="RFC 2573">RFC 2573</a> [20] and the view-based access control mechanism described in RFC 2575 [21].

A more detailed introduction to the current SNMP Management Framework can be found in RFC 2570 [22].

Managed objects are accessed via a virtual information store, termed the Management Information Base or MIB. Objects in the MIB are defined using the mechanisms defined in the SMI.

This memo specifies a MIB module that is compliant to the SMIv2. A MIB conforming to the SMIv1 can be produced through the appropriate translations. The resulting translated MIB must be semantically equivalent, except where objects or events are omitted because no translation is possible (use of Counter64). Some machine readable information in SMIv2 will be converted into textual descriptions in SMIv1 during the translation process. However, this loss of machine readable information is not considered to change the semantics of the MIB.

### 2. Revision History

Changes from draft-ietf-ipngwg-rfc2012-update-00.txt:

#### **14** November 2001

Added HC versions of connection counters.

Added Listener table, with counters for accepted and timed out connection attempts.

Added tcp{Connection,Listener}ProcessID to index into SYSAPPL-MIB or HOST-RESOURCES-MIB.

Removed tcpConnectionRemAddrType, it must be the same as tcpConnectionLocalAddrType.

Changes from draft-ops-rfc2012-update-00.txt

#### 12 Jul 2001

Turned into IPNG WG document

Added tcpCountersGroup for per-connection counters

Changes from first draft posted to v6mib mailing list:

#### 23 Feb 2001

Made threshold for HC packet counters 1Mpps

Added copyright statements and table of contents

## 21 Feb 2001 -- Juergen's changes

Renamed tcpInetConn\* to tcpConnection\*

Updated Conformance info

Added missing tcpConnectionState and tcpConnState objects to  ${\sf SEOUENCEs}$ 

# 6 Feb 2001

Removed v6-only objects.

Renamed inetTcp\* to tcpInet\*

Added SIZE restriction to InetAddress index objects. (36 = 32-byte addresses plus 4-byte scope, but it's just a strawman)

Used InetPortNumber TC from updated INET-ADDRESS-MIB

Updated compliance statements.

Added Keith to authors

Added open issues section.

Changes from <a href="RFC 2012">RFC 2012</a>

Deprecated tcpConnTable

Added tcpConnectionTable

### 3. MIB Structure

(Obviously this section needs a lot of work)

Addresses are as seen on the wire, not necessarily as the socket sees them (e.g. IPv4 address, not IPv6-mapped IPv4)

Listener on in6addr\_any without IPV6\_V60NLY socket option set (i.e. willing to accept v4 or v6) is indicated by remote AF = unknown.

#### 4. Definitions

TCP-MIB DEFINITIONS ::= BEGIN

```
IMPORTS
    MODULE-IDENTITY, OBJECT-TYPE, Integer32, Unsigned32,
    Gauge32, Counter32, Counter64, IpAddress, mib-2
                                        FROM SNMPv2-SMI
                                        FROM SNMPv2-TC
    TimeStamp
                                        FROM SNMPv2-CONF
   MODULE-COMPLIANCE, OBJECT-GROUP
    InetAddress, InetAddressType,
    InetPortNumber
                                        FROM INET-ADDRESS-MIB;
tcpMIB MODULE-IDENTITY
    LAST-UPDATED "200111140000Z"
    ORGANIZATION "IETF IPv6 MIB Revision Team"
    CONTACT-INFO
           "Bill Fenner (editor)
            AT&T Labs -- Research
            75 Willow Rd.
            Menlo Park, CA 94025
            Phone: +1 650 330-7893
            Email: <fenner@research.att.com>"
    DESCRIPTION
           "The MIB module for managing TCP implementations."
                  "200111140000Z"
    REVISION
    DESCRIPTION
           "IP version neutral revision, published as RFC XXXX."
                  "9411010000Z"
    REVISION
    DESCRIPTION
           "Initial SMIv2 version, published as <a href="RFC 2012">RFC 2012</a>."
                  "9103310000Z"
    REVISION
    DESCRIPTION
           "The initial revision of this MIB module was part of MIB-II."
    ::= { mib-2 49 }
-- the TCP base variables group
         OBJECT IDENTIFIER ::= { mib-2 6 }
tcp
-- Scalars
tcpRtoAlgorithm OBJECT-TYPE
    SYNTAX
                INTEGER {
                    other(1), -- none of the following
                    constant(2), -- a constant rto
                    rsre(3), -- MIL-STD-1778, Appendix B
                    vanj(4)
                                -- Van Jacobson's algorithm [1]
                }
```

```
MAX-ACCESS read-only
              current
    STATUS
    DESCRIPTION
           "The algorithm used to determine the timeout value used for
            retransmitting unacknowledged octets."
    ::= { tcp 1 }
tcpRtoMin OBJECT-TYPE
              Integer32
    SYNTAX
    UNITS
               "milliseconds"
   MAX-ACCESS read-only
    STATUS
              current
    DESCRIPTION
           "The minimum value permitted by a TCP implementation for the
            retransmission timeout, measured in milliseconds. More
            refined semantics for objects of this type depend upon the
            algorithm used to determine the retransmission timeout. In
            particular, when the timeout algorithm is rsre(3), an object
            of this type has the semantics of the LBOUND quantity
            described in RFC 793."
    ::= { tcp 2 }
tcpRtoMax OBJECT-TYPE
    SYNTAX
               Integer32
    UNITS
               "milliseconds"
    MAX-ACCESS read-only
    STATUS
              current
    DESCRIPTION
           "The maximum value permitted by a TCP implementation for the
            retransmission timeout, measured in milliseconds. More
            refined semantics for objects of this type depend upon the
            algorithm used to determine the retransmission timeout. In
            particular, when the timeout algorithm is rsre(3), an object
            of this type has the semantics of the UBOUND quantity
            described in RFC 793."
    ::= { tcp 3 }
tcpMaxConn OBJECT-TYPE
    SYNTAX
               Integer32
   MAX-ACCESS read-only
    STATUS
              current
    DESCRIPTION
           "The limit on the total number of TCP connections the entity
            can support. In entities where the maximum number of
            connections is dynamic, this object should contain the value
            -1."
    ::= { tcp 4 }
```

```
tcpActiveOpens OBJECT-TYPE
               Counter32
    SYNTAX
   MAX-ACCESS read-only
    STATUS
             current
    DESCRIPTION
           "The number of times TCP connections have made a direct
            transition to the SYN-SENT state from the CLOSED state."
    ::= { tcp 5 }
tcpPassiveOpens OBJECT-TYPE
    SYNTAX
               Counter32
   MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
           "The number of times TCP connections have made a direct
            transition to the SYN-RCVD state from the LISTEN state."
    ::= { tcp 6 }
tcpAttemptFails OBJECT-TYPE
    SYNTAX
              Counter32
    MAX-ACCESS read-only
               current
    STATUS
    DESCRIPTION
           "The number of times TCP connections have made a direct
            transition to the CLOSED state from either the SYN-SENT
            state or the SYN-RCVD state, plus the number of times TCP
            connections have made a direct transition to the LISTEN
            state from the SYN-RCVD state."
    ::= { tcp 7 }
tcpEstabResets OBJECT-TYPE
    SYNTAX
              Counter32
   MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
           "The number of times TCP connections have made a direct
            transition to the CLOSED state from either the ESTABLISHED
            state or the CLOSE-WAIT state."
    ::= { tcp 8 }
tcpCurrEstab OBJECT-TYPE
               Gauge32
    SYNTAX
   MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
           "The number of TCP connections for which the current state is
            either ESTABLISHED or CLOSE-WAIT."
    ::= { tcp 9 }
```

```
tcpInSeqs OBJECT-TYPE
              Counter32
    SYNTAX
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
           "The total number of segments received, including those
            received in error. This count includes segments received on
            currently established connections."
    ::= { tcp 10 }
tcpOutSegs OBJECT-TYPE
    SYNTAX
              Counter32
   MAX-ACCESS read-only
    STATUS current
   DESCRIPTION
           "The total number of segments sent, including those on
            current connections but excluding those containing only
            retransmitted octets."
    ::= { tcp 11 }
tcpRetransSeas OBJECT-TYPE
              Counter32
    SYNTAX
   MAX-ACCESS read-only
    STATUS
           current
   DESCRIPTION
           "The total number of segments retransmitted - that is, the
            number of TCP segments transmitted containing one or more
            previously transmitted octets."
    ::= { tcp 12 }
tcpInErrs OBJECT-TYPE
    SYNTAX
             Counter32
   MAX-ACCESS read-only
    STATUS
             current
    DESCRIPTION
           "The total number of segments received in error (e.g., bad
           TCP checksums)."
    ::= { tcp 14 }
tcpOutRsts OBJECT-TYPE
    SYNTAX
              Counter32
   MAX-ACCESS read-only
   STATUS
              current
   DESCRIPTION
           "The number of TCP segments sent containing the RST flag."
    ::= { tcp 15 }
tcpHCInSegs OBJECT-TYPE
```

```
SYNTAX
               Counter64
   MAX-ACCESS read-only
               current
    STATUS
    DESCRIPTION
           "The total number of segments received, including those
            received in error, on systems that can receive more than 1
            million TCP packets per second. This count includes
            segments received on currently established connections."
    ::= { tcp 17 }
tcpHCOutSegs OBJECT-TYPE
    SYNTAX
               Counter64
    MAX-ACCESS read-only
    STATUS
             current
    DESCRIPTION
           "The total number of segments sent, including those on
            current connections but excluding those containing only
            retransmitted octets, on systems that can transmit more than
            1 million TCP packets per second."
    ::= { tcp 18 }
-- The TCP Connection table
tcpConnectionTable OBJECT-TYPE
               SEQUENCE OF TcpConnectionEntry
   MAX-ACCESS not-accessible
               current
    STATUS
    DESCRIPTION
           "A table containing information about existing TCP
            connections. Note that unlike earlier TCP MIBs, there is a
            seperate table for connections in the LISTEN state."
    ::= { tcp 19 }
tcpConnectionEntry OBJECT-TYPE
               TcpConnectionEntry
    SYNTAX
    MAX-ACCESS not-accessible
    STATUS
              current
    DESCRIPTION
           "A conceptual row of the tcpConnectionTable containing
            information about a particular current TCP connection. Each
            row of this table is transient, in that it ceases to exist
            when (or soon after) the connection makes the transition to
            the CLOSED state."
    INDEX
            { tcpConnectionLocalAddressType,
              tcpConnectionLocalAddress,
              tcpConnectionLocalPort,
              tcpConnectionRemAddress,
              tcpConnectionRemPort }
```

```
::= { tcpConnectionTable 1 }
TcpConnectionEntry ::= SEQUENCE {
        tcpConnectionLocalAddressType
                                       InetAddressType,
        tcpConnectionLocalAddress
                                       InetAddress,
        tcpConnectionLocalPort
                                       InetPortNumber,
        tcpConnectionRemAddress
                                       InetAddress,
        tcpConnectionRemPort
                                       InetPortNumber,
        tcpConnectionState
                                       INTEGER,
        tcpConnectionInPackets
                                       Counter32.
        tcpConnectionOutPackets
                                       Counter32,
        tcpConnectionInOctets
                                       Counter32,
        tcpConnectionOutOctets
                                       Counter32,
        tcpConnectionHCInPackets
                                       Counter64,
        tcpConnectionHCOutPackets
                                       Counter64,
        tcpConnectionHCInOctets
                                       Counter64,
        tcpConnectionHCOutOctets
                                       Counter64,
        tcpConnectionStartTime
                                       TimeStamp,
        tcpConnectionProcessID
                                       Unsigned32
    }
tcpConnectionLocalAddressType OBJECT-TYPE
               InetAddressType
    SYNTAX
    MAX-ACCESS not-accessible
    STATUS
              current
    DESCRIPTION
           "The address type of tcpConnectionLocalAddress. Only IPv4
            and IPv6 addresses are expected."
    ::= { tcpConnectionEntry 1 }
tcpConnectionLocalAddress OBJECT-TYPE
               InetAddress (SIZE(0..36))
    SYNTAX
    MAX-ACCESS not-accessible
    STATUS
              current
    DESCRIPTION
           "The local IP address for this TCP connection. In the case
            of a connection in the listen state which is willing to
            accept connections for any IP interface associated with the
            node, a value of all zeroes is used."
    ::= { tcpConnectionEntry 2 }
tcpConnectionLocalPort OBJECT-TYPE
    SYNTAX
              InetPortNumber
   MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
           "The local port number for this TCP connection."
    ::= { tcpConnectionEntry 3 }
```

```
tcpConnectionRemAddress OBJECT-TYPE
               InetAddress (SIZE(0..36))
    SYNTAX
    MAX-ACCESS not-accessible
    STATUS
              current
    DESCRIPTION
           "The remote IP address for this TCP connection."
    ::= { tcpConnectionEntry 4 }
tcpConnectionRemPort OBJECT-TYPE
    SYNTAX
              InetPortNumber
    MAX-ACCESS not-accessible
    STATUS
              current
    DESCRIPTION
           "The remote port number for this TCP connection."
    ::= { tcpConnectionEntry 5 }
tcpConnectionState OBJECT-TYPE
    SYNTAX
               INTEGER {
                    closed(1),
                    listen(2),
                    synSent(3),
                    synReceived(4),
                    established(5),
                    finWait1(6),
                    finWait2(7),
                    closeWait(8),
                    lastAck(9),
                    closing(10),
                    timeWait(11),
                    deleteTCB(12)
                }
   MAX-ACCESS read-write
    STATUS
               current
    DESCRIPTION
           "The state of this TCP connection.
```

The value listen(2) is included only for parallelism to the old tcpConnTable, and should not be used. A connection in LISTEN state should be present in the tcpListenerTable.

The only value which may be set by a management station is deleteTCB(12). Accordingly, it is appropriate for an agent to return a `badValue' response if a management station attempts to set this object to any other value.

If a management station sets this object to the value deleteTCB(12), then this has the effect of deleting the TCB (as defined in  $\frac{RFC}{793}$ ) of the corresponding connection on

```
the managed node, resulting in immediate termination of the
            connection.
            As an implementation-specific option, a RST segment may be
            sent from the managed node to the other TCP endpoint (note
            however that RST segments are not sent reliably)."
    ::= { tcpConnectionEntry 6 }
tcpConnectionInPackets OBJECT-TYPE
    SYNTAX
              Counter32
   MAX-ACCESS read-only
              current
    STATUS
    DESCRIPTION
           "The number of packets received on this connection. This
            count includes retransmitted data."
    ::= { tcpConnectionEntry 7 }
tcpConnectionOutPackets OBJECT-TYPE
    SYNTAX
              Counter32
    MAX-ACCESS read-only
    STATUS
              current
    DESCRIPTION
           "The number of packets transmitted on this connection. This
            count includes retransmitted data."
    ::= { tcpConnectionEntry 8 }
tcpConnectionInOctets OBJECT-TYPE
    SYNTAX
              Counter32
   MAX-ACCESS read-only
    STATUS
              current
    DESCRIPTION
           "The number of octets received on this connection. This
            count includes retransmitted data."
    ::= { tcpConnectionEntry 9 }
tcpConnectionOutOctets OBJECT-TYPE
    SYNTAX
              Counter32
   MAX-ACCESS read-only
    STATUS
              current
    DESCRIPTION
           "The number of octets transmitted on this connection. This
            count includes retransmitted data."
    ::= { tcpConnectionEntry 10 }
tcpConnectionHCInPackets OBJECT-TYPE
    SYNTAX
              Counter64
   MAX-ACCESS read-only
    STATUS
             current
```

```
DESCRIPTION
           "The number of packets received on this connection. This
            count includes retransmitted data."
    ::= { tcpConnectionEntry 11 }
tcpConnectionHCOutPackets OBJECT-TYPE
    SYNTAX
              Counter64
    MAX-ACCESS read-only
    STATUS
              current
    DESCRIPTION
           "The number of packets transmitted on this connection. This
            count includes retransmitted data."
    ::= { tcpConnectionEntry 12 }
tcpConnectionHCInOctets OBJECT-TYPE
    SYNTAX
              Counter64
   MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
           "The number of octets received on this connection. This
            count includes retransmitted data."
    ::= { tcpConnectionEntry 13 }
tcpConnectionHCOutOctets OBJECT-TYPE
              Counter64
    SYNTAX
   MAX-ACCESS read-only
               current
    STATUS
    DESCRIPTION
           "The number of octets transmitted on this connection. This
            count includes retransmitted data."
    ::= { tcpConnectionEntry 14 }
tcpConnectionStartTime OBJECT-TYPE
             TimeStamp
    SYNTAX
   MAX-ACCESS read-only
              current
    STATUS
    DESCRIPTION
           "The value of sysUpTime at the time this connection was
            established"
    ::= { tcpConnectionEntry 15 }
tcpConnectionProcessID OBJECT-TYPE
    SYNTAX
               Unsigned32
   MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
           "The system's process ID for the process associated with this
            connection, or zero if there is no such process. This value
```

```
is expected to be the same as HOST-RESOURCES-
           MIB::hrSWRunIndex or SYSAPPL-MIB::sysApplElmtRunIndex for
            some row in the appropriate tables."
    ::= { tcpConnectionEntry 16 }
-- The TCP Listener table
tcpListenerTable OBJECT-TYPE
   SYNTAX SEQUENCE OF TcpListenerEntry
   MAX-ACCESS not-accessible
              current
    STATUS
    DESCRIPTION
           "A table containing information about TCP listeners."
    ::= { tcp 20 }
tcpListenerEntry OBJECT-TYPE
    SYNTAX
              TcpListenerEntry
   MAX-ACCESS not-accessible
    STATUS
              current
    DESCRIPTION
           "A conceptual row of the tcpListenerTable containing
            information about a particular TCP listener."
    INDEX
           { tcpListenerLocalAddressType,
              tcpListenerLocalAddress,
              tcpListenerLocalPort,
              tcpListenerRemAddressType }
    ::= { tcpListenerTable 1 }
TcpListenerEntry ::= SEQUENCE {
       tcpListenerLocalAddressTvpe
                                          InetAddressTvpe.
        tcpListenerLocalAddress
                                          InetAddress,
        tcpListenerLocalPort
                                          InetPortNumber,
       tcpListenerRemAddressType
                                          InetAddressType,
        tcpListenerConnectionsTimedOut
                                          Counter32,
        tcpListenerHCConnectionsTimedOut Counter64,
        tcpListenerConnectionsAccepted
                                          Counter32,
        tcpListenerHCConnectionsAccepted Counter64,
       tcpListenerStartTime
                                          TimeStamp,
       tcpListenerProcessID
                                          Unsigned32
    }
tcpListenerLocalAddressType OBJECT-TYPE
    SYNTAX
              InetAddressType
   MAX-ACCESS not-accessible
             current
    STATUS
    DESCRIPTION
```

```
"The address type of tcpListenerLocalAddress. Only IPv4 and
            IPv6 addresses are expected."
    ::= { tcpListenerEntry 1 }
tcpListenerLocalAddress OBJECT-TYPE
              InetAddress (SIZE(0..36))
    SYNTAX
   MAX-ACCESS not-accessible
    STATUS current
    DESCRIPTION
           "The local IP address for this TCP connection. In the case
            of a connection in the listen state which is willing to
            accept connections for any IP interface associated with the
            node, a value of all zeroes is used."
    ::= { tcpListenerEntry 2 }
tcpListenerLocalPort OBJECT-TYPE
    SYNTAX
              InetPortNumber
   MAX-ACCESS not-accessible
    STATUS
              current
    DESCRIPTION
           "The local port number for this TCP connection."
    ::= { tcpListenerEntry 3 }
tcpListenerRemAddressType OBJECT-TYPE
              InetAddressType
    SYNTAX
   MAX-ACCESS read-only
    STATUS
              current
    DESCRIPTION
           "The address type of connections that will be accepted by
            this listener. Only IPv4 and IPv6 addresses are expected,
            or unknown to indicate an endpoint willing to accept both
            IPv4 and IPv6 connections."
    ::= { tcpListenerEntry 4 }
tcpListenerConnectionsTimedOut OBJECT-TYPE
    SYNTAX
             Counter32
   MAX-ACCESS read-only
              current
    STATUS
    DESCRIPTION
           "The number of connection attempts to this endpoint which
            have failed due to timeout of the three-way handshake, i.e.
            the row was removed from the tcpConnectionTable but
            tcpConnectionState never moved from synReceived to
            established."
    ::= { tcpListenerEntry 5 }
tcpListenerHCConnectionsTimedOut OBJECT-TYPE
    SYNTAX
              Counter64
```

```
MAX-ACCESS read-only
              current
    STATUS
    DESCRIPTION
           "The number of connection attempts to this endpoint which
            have failed due to timeout of the three-way handshake, i.e.
            the row was removed from the tcpConnectionTable but
            tcpConnectionState never moved from synReceived to
            established."
    ::= { tcpListenerEntry 6 }
tcpListenerConnectionsAccepted OBJECT-TYPE
    SYNTAX
               Counter32
    MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
           "The number of connections which have been established to
            this endpoint."
    ::= { tcpListenerEntry 7 }
tcpListenerHCConnectionsAccepted OBJECT-TYPE
    SYNTAX
              Counter64
   MAX-ACCESS read-only
               current
    STATUS
    DESCRIPTION
           "The number of connections which have been established to
            this endpoint."
    ::= { tcpListenerEntry 8 }
tcpListenerStartTime OBJECT-TYPE
    SYNTAX
               TimeStamp
    MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
           "The value of sysUpTime at the time this listener was
            established."
    ::= { tcpListenerEntry 9 }
tcpListenerProcessID OBJECT-TYPE
    SYNTAX
               Unsigned32
    MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
           "The system's process ID for the process associated with this
            listener, or zero if there is no such process. This value
            is expected to be the same as HOST-RESOURCES-
            MIB::hrSWRunIndex or SYSAPPL-MIB::sysApplElmtRunIndex for
            some row in the appropriate tables."
    ::= { tcpListenerEntry 10 }
```

```
-- The deprecated TCP Connection table
tcpConnTable OBJECT-TYPE
    SYNTAX
               SEQUENCE OF TcpConnEntry
    MAX-ACCESS not-accessible
    STATUS
               deprecated
    DESCRIPTION
           "A table containing information about existing IPv4-specific
            TCP connections or listeners. This table has been
            deprecated in favor of the version neutral
            tcpConnectionTable."
    ::= { tcp 13 }
tcpConnEntry OBJECT-TYPE
    SYNTAX
               TcpConnEntry
    MAX-ACCESS not-accessible
    STATUS
               deprecated
    DESCRIPTION
           "A conceptual row of the tcpConnTable containing information
            about a particular current IPv4 TCP connection. Each row of
            this table is transient, in that it ceases to exist when (or
            soon after) the connection makes the transition to the
            CLOSED state."
    INDEX
            { tcpConnLocalAddress,
              tcpConnLocalPort,
              tcpConnRemAddress,
              tcpConnRemPort }
    ::= { tcpConnTable 1 }
TcpConnEntry ::= SEQUENCE {
        tcpConnState
                             INTEGER,
        tcpConnLocalAddress IpAddress,
        tcpConnLocalPort
                             INTEGER,
        tcpConnRemAddress
                             IpAddress,
        tcpConnRemPort
                             INTEGER
    }
tcpConnState OBJECT-TYPE
    SYNTAX
               INTEGER {
                    closed(1),
                    listen(2),
                    svnSent(3).
                    synReceived(4),
                    established(5),
                    finWait1(6),
                    finWait2(7).
                    closeWait(8),
                    lastAck(9),
```

```
closing(10),
                    timeWait(11),
                    deleteTCB(12)
                }
   MAX-ACCESS read-write
               deprecated
    STATUS
    DESCRIPTION
           "The state of this TCP connection.
            The only value which may be set by a management station is
            deleteTCB(12). Accordingly, it is appropriate for an agent
            to return a `badValue' response if a management station
            attempts to set this object to any other value.
            If a management station sets this object to the value
            deleteTCB(12), then this has the effect of deleting the TCB
            (as defined in RFC 793) of the corresponding connection on
            the managed node, resulting in immediate termination of the
            connection.
            As an implementation-specific option, a RST segment may be
            sent from the managed node to the other TCP endpoint (note
            however that RST segments are not sent reliably)."
    ::= { tcpConnEntry 1 }
tcpConnLocalAddress OBJECT-TYPE
    SYNTAX
               IpAddress
   MAX-ACCESS read-only
    STATUS
               deprecated
    DESCRIPTION
           "The local IP address for this TCP connection. In the case
            of a connection in the listen state which is willing to
            accept connections for any IP interface associated with the
            node, the value 0.0.0.0 is used."
    ::= { tcpConnEntry 2 }
tcpConnLocalPort OBJECT-TYPE
    SYNTAX
               INTEGER (0..65535)
   MAX-ACCESS read-only
    STATUS
               deprecated
    DESCRIPTION
           "The local port number for this TCP connection."
    ::= { tcpConnEntry 3 }
tcpConnRemAddress OBJECT-TYPE
    SYNTAX
               IpAddress
   MAX-ACCESS read-only
    STATUS
               deprecated
```

```
DESCRIPTION
           "The remote IP address for this TCP connection."
    ::= { tcpConnEntry 4 }
tcpConnRemPort OBJECT-TYPE
               INTEGER (0..65535)
    SYNTAX
   MAX-ACCESS read-only
    STATUS
               deprecated
    DESCRIPTION
           "The remote port number for this TCP connection."
    ::= { tcpConnEntry 5 }
-- conformance information
tcpMIBConformance OBJECT IDENTIFIER ::= { tcpMIB 2 }
tcpMIBCompliances OBJECT IDENTIFIER ::= { tcpMIBConformance 1 }
tcpMIBGroups
                  OBJECT IDENTIFIER ::= { tcpMIBConformance 2 }
-- compliance statements
tcpMIBCompliance2 MODULE-COMPLIANCE
    STATUS
               current
    DESCRIPTION
           "The compliance statement for systems which implement TCP."
    MODULE -- this module
        MANDATORY-GROUPS { tcpBaseGroup, tcpConnectionGroup, tcpListenerGroup }
        GROUP
                    tcpHCGroup
        DESCRIPTION
           "This group is mandatory for those systems which are capable
                of receiving or transmitting more than 1 million TCP
                packets per second. 1 million packets per second will
                cause a Counter32 to wrap in just over an hour."
        GROUP
                    tcpStatisticsGroup
        DESCRIPTION
           "This group is optional. It provides visibility for counters
                that some systems already implement."
                    tcpHCStatisticsGroup
        GROUP
        DESCRIPTION
           "This group is mandatory for those systems which implement
                the tcpStatisticsGroup and are capable of receiving or
                transmitting more than 1 million TCP packets per second.
                1 million packets per second will cause a Counter32 to
                wrap in just over an hour."
```

```
OBJECT 
                    tcpConnectionState
        MIN-ACCESS read-only
        DESCRIPTION
           "Write access is not required."
    ::= { tcpMIBCompliances 2 }
tcpMIBCompliance MODULE-COMPLIANCE
    STATUS
               deprecated
    DESCRIPTION
           "The compliance statement for IPv4-only systems which
            implement TCP. In order to be IP version independent, this
            compliance statement is deprecated in favor of
            tcpMIBCompliance2. However, agents are still encouraged to
            implement these objects in order to interoperate with the
            deployed base of managers."
   MODULE -- this module
        MANDATORY-GROUPS { tcpGroup }
        OBJECT 
                    tcpConnState
        MIN-ACCESS read-only
        DESCRIPTION
           "Write access is not required."
    ::= { tcpMIBCompliances 1 }
-- units of conformance
tcpGroup OBJECT-GROUP
    OBJECTS
              { tcpRtoAlgorithm, tcpRtoMin, tcpRtoMax,
                tcpMaxConn, tcpActiveOpens,
                tcpPassiveOpens, tcpAttemptFails,
                tcpEstabResets, tcpCurrEstab, tcpInSegs,
                tcpOutSegs, tcpRetransSegs, tcpConnState,
                tcpConnLocalAddress, tcpConnLocalPort,
                tcpConnRemAddress, tcpConnRemPort,
                tcpInErrs, tcpOutRsts }
    STATUS
               deprecated
    DESCRIPTION
           "The tcp group of objects providing for management of TCP
            entities."
    ::= { tcpMIBGroups 1 }
tcpBaseGroup OBJECT-GROUP
              { tcpRtoAlgorithm, tcpRtoMin, tcpRtoMax,
    OBJECTS 
                tcpMaxConn, tcpActiveOpens,
                tcpPassiveOpens, tcpAttemptFails,
                tcpEstabResets, tcpCurrEstab, tcpInSegs,
                tcpOutSegs, tcpRetransSegs,
                tcpInErrs, tcpOutRsts }
    STATUS
               current
```

```
DESCRIPTION
           "The group of counters common to TCP entities."
    ::= { tcpMIBGroups 2 }
tcpHCGroup OBJECT-GROUP
    OBJECTS
              { tcpHCInSegs, tcpHCOutSegs }
    STATUS
               current
    DESCRIPTION
           "The group of objects providing for counters of high speed
            TCP implementations."
    ::= { tcpMIBGroups 3 }
tcpConnectionGroup OBJECT-GROUP
            { tcpConnectionState }
    OBJECTS 
    STATUS
               current
    DESCRIPTION
           "The table of TCP connections."
    ::= { tcpMIBGroups 4 }
tcpListenerGroup OBJECT-GROUP
              { tcpListenerRemAddressType }
    OBJECTS 
               current
    STATUS
    DESCRIPTION
           "The table of TCP listeners."
    ::= { tcpMIBGroups 5 }
tcpStatisticsGroup OBJECT-GROUP
               { tcpConnectionInPackets, tcpConnectionOutPackets,
    OBJECTS
                 tcpConnectionInOctets, tcpConnectionOutOctets,
                              tcpConnectionStartTime, tcpConnectionProcessID,
                 tcpListenerConnectionsTimedOut,
                 tcpListenerConnectionsAccepted,
                 tcpListenerStartTime, tcpListenerProcessID }
    STATUS
               current
    DESCRIPTION
           "The packet and octet counters and other statistics specific
            to a TCP connection or listener."
    ::= { tcpMIBGroups 6 }
tcpHCStatisticsGroup OBJECT-GROUP
               { tcpConnectionHCInPackets, tcpConnectionHCOutPackets,
                 tcpConnectionHCInOctets, tcpConnectionHCOutOctets,
                 tcpListenerHCConnectionsTimedOut,
                 tcpListenerHCConnectionsAccepted }
    STATUS
               current
    DESCRIPTION
           "The group of objects providing for statistics for listeners
            or connections on high speed TCP implementations."
```

```
::= { tcpMIBGroups 7 }
```

**END** 

# Open Issues

Why is tcpListenerRemoteAddressType = unknown better than tcpListenerLocalAddressType = unknown and tcpListenerLocalAddress = ''h? Then we could get rid of tcpListenerRemoteAddressType.

Is tcp\*ProcessID OK? Should there be an OID pointer into a row of some \*Run\* table?

Are the current per-connection byte/segment counters appropriate? Other stats? [in optional conformance group] ConnSRTT?

More HC counters?

## Acknowledgements

This document contains a modified subset of <a href="RFC 1213">RFC 1213</a> and updates RFC 2012 and RFC 2452.

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# 8. Security Considerations

There are a number of management objects defined in this MIB that have a MAX-ACCESS clause of read-write and/or read-create. Such objects may be considered sensitive or vulnerable in some network environments. The support for SET operations in a non-secure environment without proper protection can have a negative effect on network operations.

There are a number of managed objects in this MIB that may contain sensitive information. These are:

- o The tcpConnectionLocalPort and tcpConnLocalPort objects can be used to identify what ports are open on the machine and can thus what attacks are likely to succeed, without the attacker having to run a port scanner.
- o The tcpConnectionState and tcpConnState objects have a MAX-ACCESS clause of read-write, which allows termination of an arbitrary connection. Unauthorized access could cause a denial of service.

It is thus important to control even GET access to these objects and possibly to even encrypt the values of these object when sending them over the network via SNMP. Not all versions of SNMP provide features for such a secure environment.

SNMPv1 by itself is not a secure environment. Even if the network itself is secure (for example by using IPSec), even then, there is no control as to who on the secure network is allowed to access and GET/SET (read/change/create/delete) the objects in this MIB.

It is recommended that the implementers consider the security features as provided by the SNMPv3 framework. Specifically, the use of the Userbased Security Model  $\frac{RFC}{2574}$  [18] and the View-based Access Control Model  $\frac{RFC}{2575}$  [21] is recommended.

It is then a customer/user responsibility to ensure that the SNMP entity giving access to an instance of this MIB, is properly configured to give

access to the objects only to those principals (users) that have legitimate rights to indeed GET or SET (change/create/delete) them.

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