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Authentication-Results Registration for S/MIME signature verification draft-melnikov-authentication-results-smime-05

Abstract

<u>RFC 7001</u> specifies the Authentication-Results header field for conveying results of message authentication checks. This document defines a new authentication method to be used in the Authentication-Results header field for S/MIME related signature checks.

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1. Introduction

[RFC7001] specifies the Authentication-Results header field for conveying results of message authentication checks. As S/MIME signature verification (and alteration) is sometimes implemented in border message transfer agents, guards and gateways (for example see [<u>RFC3183</u>]), there is a need to convey signature verification status to Mail User Agents (MUA) and downstream filters. This document defines a new authentication method to be used in the Authentication-Results header field for S/MIME related signature checks.

2. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

The formal syntax uses the Augmented Backus-Naur Form (ABNF) [<u>RFC5234</u>] notation including the core rules defined in <u>Appendix B of</u> <u>RFC 5234</u> [<u>RFC5234</u>].

3. "smime" Authentication Method

S/MIME signature and countersignature verification is represented by the "smime" method and is defined in [<u>RFC5751</u>].

3.1. S/MIME Results

The result values used by S/MIME [<u>RFC5751</u>] are as follows:

+----+ | Result code | Meaning | +----+ | none | The message was not signed. |

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pass	The message was signed, the signature or signatures were acceptable to the verifier, and the signature(s) passed verification tests.
fail	The message was signed and the signature or signatures were acceptable to the verifier, but they failed the verification test(s).
policy	The message was signed, signature(s) passed verification tests, but the signature or signatures were not acceptable to the verifier.
neutral	The message was signed but the signature or signatures contained syntax errors or were not otherwise able to be processed. This result SHOULD also be used for other failures not covered elsewhere in this list.
temperror	The message could not be verified due to some error that is likely transient in nature, such as a temporary inability to retrieve a certificate or CRL. A later attempt may produce a final result.
permerror	The message could not be verified due to some error that is unrecoverable, such as a required header field being absent or the signer's certificate not being available. A later attempt is unlikely to produce a final result.

A signature is "acceptable to the verifier" if it passes local policy checks (or there are no specific local policy checks). For example, a verifier might require that the domain in the rfc822Name subjectAltName in the signing certificate matches the domain in the address of the sender of the message, thus making third-party signatures unacceptable. [RFC5751] advises that if a message fails verification, it should be treated as an unsigned message. A report of "fail" here permits the receiver of the report to decide how to handle the failure. A report of "neutral" or "none" preempts that choice, ensuring the message will be treated as if it had not been signed.

3.2. Examples

Return-Path: <aliceDss@example.com>
Authentication-Results: example.net;

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```
smime=fail (certificate is revoked by CRL)
 body.smime-identifier=aliceDss@example.com
 body.smime-part=2
Received: from ietfa.example.com (localhost [IPv6:::1])
    by ietfa.example.com (Postfix) with ESMTP id 2875111E81A0;
    Fri, 06 Sep 2002 00:35:14 -0700 (PDT)
MIME-Version: 1.0
To: User2@example.com
From: aliceDss@example.com
Subject: Example 4.8
Message-Id: <020906002550300.249@example.com>
Date: Fri, 06 Sep 2002 00:25:21 -0700
Content-Type: multipart/signed;
   micalq=SHA1:
    boundary="---= NextBoundry Fri, 06 Sep 2002 00:25:21";
    protocol="application/pkcs7-signature"
This is a multi-part message in MIME format.
-----= NextBoundry Fri, 06 Sep 2002 00:25:21
This is some sample content.
-----= NextBoundry Fri, 06 Sep 2002 00:25:21
Content-Type: application/pkcs7-signature; name=smime.p7s
Content-Transfer-Encoding: base64
Content-Disposition: attachment; filename=smime.p7s
MIIDdwYJKoZIhvcNA0cCoIIDaDCCA2QCA0ExCTAHBqUrDaMCGjALBqkqhkiG9w0BBwGqqqL
aMIIC3DCCApuqAwIBAqICAMqwCQYHKoZIzjqEAzASMRAwDqYDVQQDEwdDYXJsRFNTMB4XDT
k5MDqxNzAxMTA00VoXDTM5MTIzMTIzNTk10VowEzERMA8GA1UEAxMIQWxpY2VEU1MwggG2M
IIBKwYHKoZIzjgEATCCAR4CgYEAgY3N7YPqCp45PsJIKKPkR5PdDteoDuxTxauECE//l0Fz
SH4M1vNESNH+n6+koYkv4dkwyDbeP5u/t0zcX2mK5HXQNwyRCJWb3qde+fz0ny/dQ6iLVPE
/sAcIR01diMPDtbPjVQh11Tl2EMR4vf+dsISXN/LkURu15AmWXPN+W9sCFQDiR6YaRWa4E8
baj7g3IStii/eTzQKBgCY40BSJMgo5+z5t2UtZakx2IzkEAjVc8ssaMMMeUF3dm1nizaoFP
ViAe6I2uG4Hr32KQiWn9HXPSqheSz6Q+G3qnMkhijt2FOnOLl2jB80jhbqvMAF8bUmJEYk2
RL34yJVKU1a14vlz7BphNh8Rf8K97dFQ/5h0wtGBSmA5ujY5A4GEAAKBqFzjuVp1FJYLqXr
d4z+p7Kxe3L23ExE0phaJKBEj2TSGZ3V1ExI9Q1tv5VG/+onyohs+JH09B41bY8i7RaWgSu
0F1s4GqD/oI34a8iSrUxq4Jw0e7wi/ZhSAXGKsZfoVi/G7NNTSljf2YUeyxDKE8H5BQP1Gp
2NOM/Kl4vTyq+W4o4GBMH8wDAYDVR0TAQH/BAIwADA0BqNVHQ8BAf8EBAMCBsAwHwYDVR0i
BBgwFoAUcEQ+gi5vh95K03XjPSC8QyuT8R8wHQYDVR00BBYEFL5sobPjwfftQ3CkzhMB4v3
jl/7NMB8GA1UdEQQYMBaBFEFsaWN1RFNTQGV4YW1wbGUuY29tMAkGByqGSM44BAMDMAAwLQ
IUV0vkGR9CK4lxIi0Ng2g1PWdrv0UCF0CfYVNSVAtcst3a53Yd4hBSW0NevTFiMGECA0EwG
DASMRAwDqYDVQQDEwdDYXJsRFNTAqIAyDAHBqUrDqMCGjAJBqcqhkj00AQDBC4wLAIUM/mG
f6gkgp9Z0XtRdGimJeB/BxUCFGFFJqwYRt1WYcI0QoGiaowqGzVI
```

-----= NextBoundry Fri, 06 Sep 2002 00:25:21--

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<u>4</u>. IANA Considerations

IANA is requested to add the the following entries to the "Email Authentication Methods" subregistry of the "Email Authentication Parameters" registry:

+ Method	+ Defined	ptype	property	++ value
+	[RFC575 1] 	body	smime-part	<pre>The MIME body part The MIME body part contains the signature. Syntax of this property is described by the smime-part ABNF production below. app lication/pkcs7-signatu re or application/pkcs7-mime (containing SignedData) media type body parts are references using the <section "section[="" (e.g.="" 6.4.5="" [rfc3501]).="" another="" application="" be="" being="" body="" by="" can="" cms="" containing="" contains="" content="" encapsulated="" envelopeddata,="" if="" inner="" is="" of="" part="" pkcs7-mime="" pre="" references="" section"="" signature="" signeddata),="" such="" syntax.<="" the="" type="" using="" verified="" which="" =""></section></pre>
smime 	[RFC575 1] 	body	smime- identifier	The email address [<u>RFC5322</u>] associated with the S/MIME signature. The email address can be specified explicitly

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				or derived from the
				identity of the
				signer. Note that
				this email address can
				correspond to a
				counter signature.
+	+	+	+	+

```
smime-part = section ["/" smime-subpart]
smime-subpart = smime-part
section = <Defined in Section 6.4.5 of [RFC3501]>
```

IANA is requested to add the the following entries to the "Email Authentication Result Names" subregistry of the "Email Authentication Parameters" registry:

+ Code 	+ Defined 	+ Auth Method	+ Meaning 	++ Status
none 	this document	smime 	[this memo] <u>Section 3.1</u>	active
 pass 	 this document	 smime 	 [this memo] <u>Section 3.1</u>	active
 fail 	 this document	 smime 	[this memo] <u>Section 3.1</u>	active
 policy 	 this document	 smime 	[this memo] <u>Section 3.1</u>	active
 neutral 	 this document	 smime 	[this memo] Section 3.1	active
 temperror 	 this document	 smime 	[this memo] <u>Section 3.1</u>	active
 permerror +	 this document +	 smime 	 [this memo] <u>Section 3.1</u>	active active

<u>5</u>. Security Considerations

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This document doesn't add new security considerations not already covered by [RFC7001] and [RFC5751]. In particular security considerations related to use of weak cryptography over plaintext, weakening and breaking of cryptographic algorithms over time, as well as changing the behavior of message processing based on presence of a signature specified in [RFC5751] are relevant to this document. Similarly, the following security considerations specified in [RFC7001] are particularly relevant to this document: Forged Header Fields, Misleading Results, Internal MTA Lists and Compromised Internal Hosts.

Note that agents adding Authentication-Results header fields containing S/MIME Authentication Method might be unable to verify S/MIME signatures inside encrypted CMS content types such as EncryptedData [RFC5652] and AuthEnvelopedData [RFC5083]. So agents processing Authentication-Results header fields shouldn't treat lack of an Authentication-Results header field with S/MIME Authentication Method as an indication that the corresponding S/MIME signature is missing or invalid.

<u>6</u>. References

<u>6.1</u>. Normative References

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", <u>BCP 14</u>, <u>RFC 2119</u>, March 1997.
- [RFC3501] Crispin, M., "INTERNET MESSAGE ACCESS PROTOCOL VERSION 4rev1", <u>RFC 3501</u>, March 2003.
- [RFC5234] Crocker, D. and P. Overell, "Augmented BNF for Syntax Specifications: ABNF", STD 68, <u>RFC 5234</u>, January 2008.
- [RFC5322] Resnick, P., Ed., "Internet Message Format", <u>RFC 5322</u>, October 2008.
- [RFC7001] Kucherawy, M., "Message Header Field for Indicating Message Authentication Status", <u>RFC 7001</u>, September 2013.
- [RFC5751] Ramsdell, B. and S. Turner, "Secure/Multipurpose Internet Mail Extensions (S/MIME) Version 3.2 Message Specification", <u>RFC 5751</u>, January 2010.

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<u>6.2</u>. Informative References

- [RFC3183] Dean, T. and W. Ottaway, "Domain Security Services using S /MIME", <u>RFC 3183</u>, October 2001.
- [RFC5652] Housley, R., "Cryptographic Message Syntax (CMS)", STD 70, <u>RFC 5652</u>, September 2009.
- [RFC5083] Housley, R., "Cryptographic Message Syntax (CMS) Authenticated-Enveloped-Data Content Type", <u>RFC 5083</u>, November 2007.

<u>Appendix A</u>. Acknowledgements

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