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# IETF Expectations of Participation in Development and Review of ITU-T Recommendations on MPLS-TP

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#### Abstract

The decision to develop a Multiprotocol Label Switching (MPLS) Transport Profile (MPLS-TP) in cooperation between the IETF and the ITU-T is documented in RFC 5317 as the report of the Joint Working Team on MPLS-TP. As part of this development process, the International Telecommunication Union - Telecommunication Standardisation Sector (ITU-T) will develop a number of Recommendations. Those Recommendations will allow MPLS-TP to be integrated with current transport equipment and networks, including, in agreement with the IETF, the definition of any ITU-T-specific functionality within the MPLS-TP architecture via the Change Process for Multiprotocol Label Switching (MPLS) and Generalized MPLS (GMPLS) Protocols and Procedures.

This document sets out the IETF's expectations of how the IETF, through individual participation and through consensus decisions, will contribute to in the development, review, and approval of those Recommendations.

This document does not modify any existing ITU-T or IETF procedures, but shows how those procedures can be used to facilitate cooperation for the MPLS-TP project.

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## 1. Introduction

The decision to develop a Multiprotocol Label Switching (MPLS) Transport Profile (MPLS-TP) in cooperation between the IETF and the ITU-T is documented in [RFC5317] as the report of the Joint Working Team on MPLS-TP. As part of this development process, the International Telecommunication Union - Telecommunication Standardisation Sector (ITU-T) will develop a number of Recommendations. Those Recommendations will allow MPLS-TP to be integrated with current transport equipment and networks, including, in agreement with the IETF, the definition of any ITU-T-specific functionality within the MPLS-TP architecture via the Change Process for Multiprotocol Label Switching (MPLS) and Generalized MPLS (GMPLS) Protocols and Procedures [RFC4929].

This document sets out the IETF's expectations of how the IETF, through individual participation and through consensus decisions, will contribute to in the development, review, and approval of those Recommendations.

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## 1.1. Terminology

This documents uses a number of terms that are common to the MPLS-TP cooperation project. Please refer to [MPLS-TP-PROCESS] for a list of applicable IETF and ITU-T terms.

## 1.2. Purpose, Intent, and Procedures for Cooperation on MPLS-TP

This section is a deliberate restatement of the equivalent section in [MPLS-TP-PROCESS]. It is reproduced here because the purpose and intent of the cooperation project on MPLS-TP is fundamental to the establishment of procedures for that cooperation, and is the basis for the IETF's expectations of participation in the ITU-T's development of MPLS-TP Recommendations.

The purpose and objectives of the development activity on MPLS-TP is described in [RFC5317]. The JWT report, accepted by the ITU-T and the IETF, includes the recognition that the design authority for MPLS (including MPLS-TP) is the IETF.

At the same time, the JWT report recognises the role of the ITU-T in providing input (especially input to the requirements statements) to the development process for MPLS-TP. There is also a clear statement of expectation that the ITU-T's opinions will be heard within the

IETF and must be properly considered during the development of MPLS-TP documents.

It should be noted that the IETF will continue to work on MPLS and pseudowire technologies for core MPLS (i.e., not MPLS-TP) deployments. Additionally, the IETF will work on technologies that are close to, but not directly part of MPLS-TP (for example, the OSPF routing protocol, and bidirectional forwarding detection - BFD). All work by the IETF on the development of Internet technologies will proceed as before, and the IETF welcomes participation from all individuals. Where such developments overlap with MPLS-TP such that they are important to the work on MPLS-TP, they will form part of the cooperation project.

The development of standards for MPLS-TP is, therefore, carried out within the IETF according to IETF process and with strong input from the ITU-T. This input takes three forms (see also Section 2.2):

## o Active participation.

All interested parties are encouraged to participate in the development of MPLS-TP standards within the IETF through the normal IETF process. In short, this involves the generation and documentation of new ideas as Internet-Drafts, and the discussion of work in progress through the IETF mailing lists, at IETF meetings, and through informal conversations and conference calls. The IETF is not a membership organisation; the mailing lists and meetings are open to everyone.

## o Informal communication.

It is recognised that discussions about MPLS-TP will take place within the Questions and Study Groups of the ITU-T. In order to speed up the development process and ensure smooth communications, the ITU-T is requested to make informal (i.e., email, conference call, face-to-face conversation) communications to the IETF whenever any issues or questions arise. Informal communication can be made by any individual or Rapporteur of a Question, and the MPLS-TP mailing list is the default forum for such communication. The chairs of the Ad Hoc Team on MPLS-TP may also summarise discussions within the ITU-T (especially those on the Ad Hoc Team on MPLS-TP mailing list) and communicate them to the IETF via email.

## o Formal communication.

The formal liaison process with the IETF is described in [RFC4052] and [RFC4053]. This process will be used for ensuring that

specific progress steps are check-pointed and recorded, and for making sure that appropriate responses are generated in a timely manner. Formal liaison communications may be marked as "For Action", "For Comment", or "For Information" depending on the level of feedback that is required. Where formal liaison communication is indicated in this document, the type of liaison that is advised in each instance is also indicated.

The objective of cooperation between the IETF and ITU-T is to ensure full participation of interested parties in order to make sure that all opinions are heard with the intention of producing sound and stable MPLS-TP documentation. It is understood that the neither the IETF nor the ITU-T is in a position to block the work of the other body within its areas of authority. In the context of this document, this means that the ITU-T cannot block IETF work on MPLS-TP against the IETF consensus view.

Part of this process must be the understanding that all IETF documentation (including RFCs) can be revised or extended according to normal IETF procedures. Therefore, it is not a requirement that the first version of any RFC be perfect for all time (we do not need to "boil the ocean"); the initial aim of the work is to provide documentation of MPLS-TP as it is initially developed and deployed.

Fundamental to understanding the process described in the rest of this document and to participating in the MPLS-TP development process is a working knowledge of the procedures of the IETF. Readers needing clarification of the IETF procedures are invited to read [RFC2026], [RFC4677], and [RFC4929]. Further clarification and guidance can be obtained from the MPLS-TP responsible working group chair, the MPLS-TP responsible AD, and the IETF liaison to the ITU-T on MPLS.

The ITU-T may also develop Recommendations to document MPLS-TP. The JWT decision recognises that these Recommendations must not contain normative definitions of MPLS-TP (these are captured solely in IETF RFCs). Recommendations on MPLS-TP will be provided for review by the IETF to ensure conformance with the previous point and to verify that the material is consistent across MPLS-TP. The process for producing and reviewing Recommendations is the subject of this document.

## 1.3. Communications with the ITU-T

A most important part of this process is the information exchange between the IETF and ITU-T. This information exchange consists of two equally important pieces.

## o Informal information exchange

This is done primarily by e-mail to the relevant mailing lists. Information sent to the ITU-T must be sent to the Ad Hoc Team on MPLS-TP mailing list. Information sent to the IETF must be sent to the MPLS-TP mailing list.

## o Formal information exchange

In addition to the informal information exchange, a formal information exchange is accomplished by liaison correspondence between the two organisations. Exchange of liaisons makes it possible to follow the request/response exchange between the organisations in more detail, and to obtain an official view of each organisation's position on any topic.

Formal liaisons should include tracking numbers in their subject lines to facilitate easy coordination of responses with the requests to which they are associated.

#### 1.3.1. Document Formats

The purpose of communications in the context of this document is the review of draft text of Recommendations. Such text is usually worked on by the ITU-T using Microsoft Word, and it is expected that it will be supplied to the IETF as either a Word document or in PDF. Such files may be quite large as a result of embedded figures.

Recommendation text sent to the IETF as part of formal liaisons will be archived alongside the liaisons in the IETF repository. In this case, file size will not be an issue.

Recommendation text sent as part of an informal communication is quite likely to exceed the message size limits on IETF mailing lists. Senders of such communications are advised to place the text files on publically accessible FTP sites.

## 1.4. Non-Response to Liaisons

The liaison relationship between the IETF and the ITU-T is founded on the understanding that each party will respond in a timely and appropriate manner to the other party's liaisons so long as reasonable notice is given.

Failure to respond by a deadline properly expressed in a liaison must not be used to cause deadlock or to block advancement of work. Such failures shall be assumed to represent accidental errors or oversights and shall be brought to the attention of the management of the body that has failed to respond.

In extreme cases, the JWT is empowered to convene itself to resolve issues of failed communications.

## 2. High-Level Description of the ITU-T Development and Approval Process

This section provides a high-level description of the processes used within the ITU-T for the development and approval of Recommendations.

This section is for information only and is not a normative description of these processes.

## 2.1. Development of Recommendation Text

This document makes no change to the normal procedures for the development of Recommendation text.

IETF participants whose companies or organizations are members of the ITU-T may contribute to the text of Recommendations using the normal procedures of submitting Contributions or participating in ITU-T meetings.

ISOC delegates (usually people holding IETF management or liaison positions) may also attend ITU-T meetings and contribute to the development of Recommendation text.

Invited Experts may also attend ITU-T meetings at the discretion of the ITU-T management. These experts may be invited according to their particular areas of expertise and can contribute to the efforts to develop Recommendation text.

Section 3 of this document describes how the IETF may participate in the development and review of ITU-T Recommendation text. Formal liaisons form an important part of this process and allow the IETF to provide review comments and make suggestions in the process of the development of ITU-T Recommendations.

## 2.2. Final Edit, Consent, and Approval of Recommendations

It is anticipated that all of the MPLS-TP Recommendations will be approved by the ITU-T via the Alternative Approval Process (AAP) defined in Recommendation A.8 [A.8]

The process used for MPLS-TP Recommendations will be that the draft text of Recommendations intend for consent will be made available at least 7 working days before the SG15 meeting at which consent is planned.

At that meeting, the text may be edited based only on the contributions or liaison statements available at the meeting. Note that the draft Recommendation can only be modified based on these specific inputs.

On the final day of the meeting, if the members present agree to consent the draft Recommendation (as modified during the meeting) then the last call process is initiated. As defined in Recommendation A.8 [A.8], last call is a 4 week period during which members of the ITU-T can submit comments. If substantive comments are submitted during the last call period they are addressed by modifying the draft Recommendation. When all parties are satisfied, an additional review is initiated. After the additional review the Recommendation is either approved or, exceptionally, if objections are received, the Recommendation is returned to the next meeting of the study group for further work.

## 3. IETF Input to ITU-T Development Process

In accordance with the agreements reached in the JWT [RFC5317], it is important that the IETF has adequate opportunity to review the draft Recommendations at a stage where changes can be readily accommodated, i.e., before consent and the initiation of the Last Call period. The participation of experts from the IETF in the early stages of the development of the MPLS-TP Recommendations is critical to the successful completion of the final stages of this process. This early interaction will be facilitated by taking the following steps:

- At any time in the process of developing the text for an MPLS-TP Recommendation, the ITU-T may solicit input or clarification by sending email to the IETF's MPLS-TP mailing list (mpls-tp@ietf.org) or by sending a liaison For Action.
- IETF participants whose companies are members of the ITU-T are strongly encouraged to participate in the development of the Recommendation text through the normal ITU-T process by submitting contributions, and by participating in meetings and virtual meetings to discuss the content.
- Once the draft Recommendation has reached a reasonable state of stability the text will be sent to the IETF in a liaison For Comment to solicit early review and comments.

## 4. IETF Input to the ITU-T Final Modification and Consent Process

It is important to allow the IETF time to review the draft Recommendation that is intended for consent at an SG15 meeting, and to allow the IETF to provide comments as a liaison statement to the meeting so that the comments can be used as a source for final modification of the Recommendation text

To facilitate this, the IETF expects that the ITU-T will make a version of the draft text available 3 weeks before the start of the SG 15 meeting. This draft can be reviewed by the IETF and will be the version of the text presented to the SG 15 meeting.

A response liaison from the IETF to convey any review comments or a statement that there are no comment is required and should reach the ITU-T before the start of the meeting.

During the SG15 meeting, the draft Recommendation will be updated based on the contributions and liaison statements available at the meeting (including the comments from the IETF review). This may result in any of:

- no changes to the draft text if there is no input
- modifications consistent with the comments received from the IETF
- additional modifications based on contributions from other parties.

The IETF participation in this final modification is limited to participation at the meeting by representatives of organizations that are ITU-T Sector Members. This does not constitute formal IETF participation, but some of the same people may be involved.

At the end of the meeting, the modified text will be considered for consent by the members of the SG who are present. This will decide whether the Recommendation will proceed to last call and approval.

In order that the MPLS-TP work developed by the IETF and ITU-T can proceed as a cooperative development, it is important that the text of the Recommendation that is consented and taken forward does not contain modification of substance that have not been reviewed and agreed by the IETF. This means that editorial changes made within the SG15 meeting are acceptable, but that technical changes may require further review and agreement by the IETF.

A judgement call must be made to determine which changes are substantial and require further IETF review and agreement. The IETF shall designate one individual present at the SG15 meeting to make this decision with the assistance of the ITU-T management responsible for the Recommendation concerned. This person will usually be the ISOC delegate, but may be some other designated person (for example, the IETF's liaison on MPLS, an Area Director, or a working group chair).

If the judgment call is that further IETF review and agreement is required, a choice must be made:

- If the changes are small, the Recommendation may continue to be consented with the understanding that any changes identified during IETF review will be submitted to the ITU-T as last call comments (see Section 5).
- If the change is more significant, the designated IETF representative will advise the Study Group through the Study Group chair. In this case, the IETF expects that the text will be sent back to the IETF for further review and agreement prior to consent. Depending on the result of the IETF review, the ITU-T Question responsible for the Recommendation will undertake further work to revise the text and initiate additional IETF review before proposing the revised text for consent.

The process used for IETF review and agreement is that a copy of the final text of the Recommendation as proposed for consent will be sent to the IETF in a liaison for Action and the IETF will immediately hold a further last call on an email list that it designates (usually the MPLS-TP email list). Normal IETF rough consensus mechanisms will be used to judge support for approval and to request further changes, except that silence will be determined to represent support for approval.

## 5. IETF Input to the ITU-T Last Call and Approval Process

This document does not modify the ITU-T's last call and approval process as defined in Recommendation A.8 [A.8].

The IETF's expectation is that, by the time last call is held, the IETF will have already reviewed and agreed the text of the Recommendation. Thus, it is not expected that the IETF will need to make any comments during last call. If, however, an issue is discovered during the last call period, it will be sent to the ITU-T in a formal liaison.

If substantive comments are submitted to the ITU-T from other sources during the last call period they will be addressed by modifying the draft Recommendation. When all parties are satisfied, an additional review will be initiated within the ITU-T and it is the IETF's expectation that the IETF would be notified of any changes and given the opportunity to comment and agree the changes. If, after the additional review there are still objections, the Recommendation will be returned to the next meeting of the study group for further work.

#### 6. Guidelines For MPLS-TP work in the ITU-T

These quidelines apply to progressing work on MPLS-TP in the ITU-T.

- Any member of the ITU-T may send an MPLS-TP contribution to a ITU-T Study Group or Question.
- Before the ITU-T initiates any new work (i.e., items not previously identified by the JWT) based on such contributions the ITU-T shall send a liaison to the IETF. The message will go to the IETF liaison to the ITU-T on MPLS, the MPLS-TP responsible working group chair, and the MPLS-TP responsible AD. They are responsible for sending a consolidated response from the IETF, but may delegate the work of writing the response.
- The IETF must respond to such liaisons according to the deadline in the liaison. Acceptable responses include:
  - o Acknowledgement of receipt and agreement that the ITU-T is clear to proceed with the work described.
  - o Reguest that the work described be transferred from the ITU-T to the IETF in the form of an Internet-Draft to form part of the MPLS-TP work in the IETF.
  - o Request that the work be put on hold until specific issues have been resolved. In the event that this response is seen as blocking of ITU-T work, the JWT may be convened to seek a resolution.

Note that the process described in this section is conformant to the Change Process for Multiprotocol Label Switching (MPLS) and Generalized MPLS (GMPLS) Protocols and Procedures [RFC4929] and is in accord with the IETF's Best Current Practice Procedures for Protocol Extensions and Variations [RFC4775].

## 7. IANA Considerations

There are no requests for IANA action in this document.

## 8. Security Considerations

This document describes the IETF's expectations for participating in the development of ITU-T Recommendations on MPLS-TP and thus does not introduce any new security considerations.

The successful development of MPLS-TP standards that are consistent across the industry is an essential component to ensuring the

security and stability of MPLS networks.

## 9. Acknowledgments

Thanks to the participants in the ITU-T Study Groups 15 (Questions 3, 9, 10, 12, and 14) interim meeting in May 2009 for writing a liaison on which a significant part of this text is based [LS-54] and for providing review of this text. Thanks to Malcolm Betts and to Ross Callon for review comments and input. Thanks to the joint ITU-T and IETF Management Steering Group on MPLS-TP for their review of the content of this document. Yuanlong Jiang, Ben Niven-Jenkins, Tom Petch, and Scott Mansfield provided helpful comments.

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